

RCE #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Naoki SHIBATA et al.

Serial No.: 09/885,046

Group Art Unit: 2811

Filed: June 21, 2001

Examiner: Shouxiang Hu

For: GROUP III NITRIDE COMPOUND SEMICONDUCTOR DEVICE AND
METHOD FOR PRODUCING THE SAME

Honorable Commissioner of Patents
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

This Amendment is filed concurrently with the filing of a Request for Continued
Examination (RCE).

In response to the Final Office Action dated December 3, 2003, please amend the
above-identified application as follows:

04/01/2004 TLUU11 00000006 09885046
01 FC:1801 770.00 OP

04/01/2004 TLUU11 00000006 09885046
02 FC:1251 110.00 OP